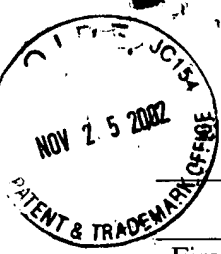


Receipt
#



Docket: 14036

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Application No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

TRANSMITTAL LETTER

Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U.S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 20 day of November, 2002.

KrisAnne Popovits

Dear Sir:

The following documents are enclosed in connection with the above-referenced patent application:

1. Request for Corrected Filing Receipt (2 pages);
2. Copy of Filing Receipt with Correction Marked in Red (1 page);
3. Fee Transmittal (1 page);
4. Check No. 934517 in the amount of \$25 to cover correction fee; and
5. Return Receipt Postcard.

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DEC 20 2002
GROUP 3600

Respectfully submitted,

DORSEY & WHITNEY LLP

Date: 11/20/02

By:
Daniel G. Chapik
Reg. No. 43,424
Suite 1500
50 South Sixth Street
Minneapolis, MN 55402-1498
(612) 343-7955

APPLICATION FEE TRANSMITTAL SHEET (FOR FY 2002)

Complete if Known

Application No. 09/0001
Filing Date May 14, 2001
First Named Inventor Michael R. Oldenburg
Group Art Unit 3626
Examiner Name V. Patel
Atty. Docket Number 14036

METHOD OF PAYMENT (Check One)

1. ☐ The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit Account No.: 04-1420

Deposit Account Name: DORSEY & WHITNEY LLP

- ☒ Charge any additional fee required under 37 C.F.R. 1.16 and 1.17
☒ Applicant claims small entity status (see 37 C.F.R. 1.27)

2. ☒ Check Enclosed

FEE CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description
160	80	<input type="checkbox"/> Provisional Filing Fee
740	370	<input type="checkbox"/> Utility Filing Fee
330	165	<input type="checkbox"/> Design Filing Fee
740	370	<input type="checkbox"/> Reissue Filing Fee
Subtotal (1)		

2. EXTRA* CLAIM FEES

Number Claims	Prior	Extra	Fee from Below*	Fee Paid
Total	- 20	=	x	=
Indep.	- 3	=	x	=
Multiple Dependent Claims		=	x	=
Subtotal (2)				

*Calculation of Extra Claim Fees

Large Entity Fee	Small Entity Fee	Fee Description
18	9	Claims in excess of 20
84	42	Independent claims in excess of 3
280	140	Multiple dependent Claim
84	42	Reissue independent claims over original patent
18	9	Reissue claims in excess of 20 and over original patent

FEE CALCULATION (Continued)

3. ADDITIONAL FEES

Large Entity Fee	Small Entity Fee	Fee Description	Fee paid
50	25	Surcharge - late provisional filing fee or cover sheet	
130	65	Surcharge - Late nonprovisional filing fee or oath	
180	180	Submission of IDS	
40	40	Recording each patent assignment per property (times number of properties)	
110	55	Extension for reply within first month	
400	200	Extension for reply within second month	
920	460	Extension for reply within third month	
1,440	720	Extension for reply within fourth month	
1,960	980	Extension for reply within fifth month	
740	370	Submission After Final 1.129	
320	160	Notice of Appeal	
320	160	Filing a brief in support of an appeal	
280	140	Request for oral hearing	
110	55	Terminal Disclaimer Fee	
130	130	Petitions to the Commissioner	
50	50	Petitions related to provisional applications	
1,280	640	Utility/Reissue Issue Fee (including advance copies)	
460	230	Design Issue Fee (inc. advance copies)	
740	370	Request for Continued Examination (RCE)	
300	300	Publication fee for early, voluntary, or normal publication	
300	300	Publication fee for re-publication	
110	55	Petition to Revive - unavoidable	
1,280	640	Petition to Revive - unintentional	
OTHER FEE	\$25.00:	Request for Corrected Filing Receipt	\$25.00
Subtotal (3)			\$25.00
Total Amount of Payment:			\$25.00

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GROUP 3600

Submitted by:

Name: Daniel G. Chapik

Reg. No.: 43,424

Telephone: (612) 343-7955

Signature:

Date:

11/20/02



Docket: 14036

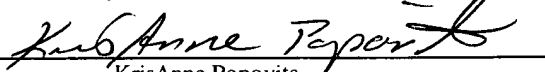
IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor:	Michael R. Oldenburg	
Appln. No.:	09/855,001	
Filing Date:	May 14, 2001	Examiner: V. Patel
Title:	RETROFITTABLE SEVERE DUTY SEAL FOR A SHAFT	Group Art Unit: 3676

REQUEST FOR CORRECTED FILING RECEIPT

Commissioner for Patents
Washington, D.C. 20231

I hereby certify that this document is being sent via First Class U. S. mail addressed to: Commissioner for Patents, Washington, D.C. 20231 on this 20 day of November, 2002.


KrisAnne Popovits

Dear Sir:

Please correct the filing receipt in the above-identified application as follows:

Under the heading **Domestic Priority data as claimed by applicant:**

Please delete the CIP reference 09/401,570 09/22/1999.

The Domestic Priority data reads,

“THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507
AND A CIP OF 09/618,619 07/18/2000
WHICH IS A CIP OF 09/401,570 09/22/1999
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507”

and should be

-- THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507
AND A CIP OF 09/618,619 07/18/2000
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507 --

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GROUP 3600

A check in the amount of \$25 is enclosed herewith to cover the correction fee. It is believed that no other fees are due in connection with this response. However, the Office is authorized to charge any deficiency in fees associated with this response to Deposit Account 04-1420.

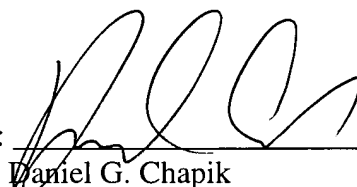
Respectfully submitted,

DORSEY & WHITNEY LLP

Date:

11/20/02

By:



Daniel G. Chapik

Reg. No. 43,424

Suite 1500

50 South Sixth Street

Minneapolis, MN 55402-1498

(612) 343-7955



JHA/TRANSCOM
OCT 25 2001 Page 1 of 2

KAP

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UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/855,001	05/14/2001	3626	902	14036	10	63	7

CONFIRMATION NO. 1209

UPDATED FILING RECEIPT



OC00000006948772

James H. Ackley
Dorsey & Whitney LLP
220 South Sixth Street
Minneapolis, MN 55402-1498

Date Mailed: 10/22/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Michael R. Oldenburg, Madelia, MN;

Domestic Priority data as claimed by applicant

THIS APPLICATION IS A CIP OF 09/690,524 10/17/2000
WHICH IS A CON OF 08/937,427 09/25/1997 PAT 6,186,507
AND A CIP OF 09/618,619 07/18/2000

~~WHICH IS A CIP OF 09/401,570 09/22/1999~~ Please delete this reference
WHICH IS A CIP OF 08/937,427 09/25/1997 PAT 6,186,507

(*) Data inconsistent with PTO records.

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GROUP 3600

Foreign Applications

If Required, Foreign Filing License Granted 07/12/2001

Projected Publication Date: 01/31/2002

Non-Publication Request: No

Early Publication Request: No

** SMALL ENTITY **

Title

Retrofittable severe duty seal for a shaft

htd
gm

ORIGINAL CHECK HAS VOID PATTERN IN BACKGROUND AND ARTIFICIAL WATERMARK ON REVERSE SIDE. DO NOT CASH IF VOID IS VISIBLE OR WATERMARK IS ABSENT.

CHECK DATE

11/20/02

USBank

Havre, MT 59401

PAY TWENTY-FIVE AND 00/100 Dollars

TO
THE
ORDER
OF

Director of the United States
Patent and Trademark Office

DORSEY & WHITNEY LLP

50 SOUTH SIXTH STREET, STE 1500
MINNEAPOLIS, MINNESOTA 55402-1498

CHECK NO. **934517**

93-455/929

CHECK AMOUNT

\$*****25.00

TWO SIGNATURES REQUIRED ON CHECK
OVER \$200,000.00

Preston J. Under

VOID AFTER SIX MONTHS

⑈934517⑈ ⑆092904554⑆ 150080378931⑈



UNITED STATES PATENT AND TRADEMARK OFFICE

FILE COPY

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, DC 20231
www.uspto.gov

APPLICATION NUMBER	FILING DATE	FIRST NAMED APPLICANT	ATTY. DOCKET NO./TITLE
09/855,001	05/14/2001	Michael R. Oldenburg	14036

CONFIRMATION NO. 1209

James H. Ackley
Dorsey & Whitney LLP
220 South Sixth Street
Minneapolis, MN 55402-1498

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Date Mailed: 12/10/2002

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Domestic Continuity and Foreign Priority

In response to your request for a corrected Filing Receipt, the Office is unable to comply with the request because:

- ☐ The priority or continuity claim has not been entered because it was not filed during the required time period. Applicant may wish to consider filing a petition to accept an unintentionally delayed claim for priority. See 37 CFR 1.55 or 1.78.
- ☐ Continuity claimed under 35 U.S.C. § 120 cannot be added to the Filing Receipt without the applicant supplying the relationship (continuation, divisional, or continuation-in-part) in an Application Data Sheet or amendment to the first page of the specification.
- ☐ A claim for priority cannot be made based on an application filed after the application making the claim.
- ☐ Domestic benefit and foreign priority claims will not be captured in a provisional application. A provisional application is not entitled to a right of priority or to the benefit of an earlier filing date of any other application. See 35 U.S.C. § 111(b)(7) and 37 CFR 1.53(c)(4).
- ☐ A domestic continuity claim cannot be made to a foreign application and the filing receipt will only list the foreign country, application number, and filing date.
- ☐ Foreign priority will appear on the Filing Receipt in the following order:
Country, Application number, Filing date.
- ☐ This application is the result of a conversion from a provisional application. Priority based on such application cannot be made since it no longer exists as a provisional application.
- ☐ The application(s) to which priority is claimed were filed over a year prior to the filing date of this application. Therefore, the referenced application(s) cannot be claimed as domestic or

foreign priority.

☒ To change the benefit claim of a U.S. prior-filed application, applicant must amend the first sentence of the specification (if the benefit claim is referenced in the specification), or provide a supplemental application data sheet (ADS) (if the benefit claim was submitted in an ADS), with the desired benefit claim. Note that once a benefit claim is deleted, applicant will not be able to claim such prior-filed application again, if the above-identified application was filed on or after November 29, 2000.

☐ To change a foreign priority claim, applicant must submit a supplemental oath or declaration (if the priority claim is referenced in the oath or declaration), or a supplemental application data sheet (ADS) (if the priority claim was submitted in an ADS), with the desired priority claim. If a supplemental ADS is submitted, any deletions should be shown with strikeouts. Note that once a priority claim is deleted, applicant will not be able to claim such foreign application again, if the above-identified application was filed on or after November 29, 2000.

M. Stephens
Customer Service Center
Office of Initial Patent Examination
(703) 308-1202